SEC Form 4

Π

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

hours per response:	0.5
Estimated average bur	den
OMB Number:	3235-0287

Van Plew Dar	s of Reporting Persor <u>tiel P</u> (First) /IILL RIVER RO <i>F</i>	(Middle)	2. Issuer Name and Ticker or Trading Symbol <u>REGENERON PHARMACEUTICALS,</u> <u>INC.</u> [REGN] 3. Date of Earliest Transaction (Month/Day/Year) 12/11/2019	(Check	ionship of Reporting Perso all applicable) Director Officer (give title below) EVP & General Mgr In	10% Owner Other (specify below)
(Street) TARRYTOWN (City)	NY (State)	10591 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	dual or Joint/Group Filing (Form filed by One Report Form filed by More than 0 Person	ting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/11/2019		A ⁽¹⁾		2,625	A	\$0.0	23,251	D	
Common Stock	12/11/2019		A ⁽²⁾		5,000	A	\$0.0	28,251	D	
Common Stock								1,537	I	By 401(k) Plan

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3A. Deemed Execution Date, if any 1. Title of Derivative 3. Transaction Date 5. Number 6. Date Exercisable and Expiration Date 7. Title and Amount of Securities 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 2. Conversion Transaction Underlying Derivative Security (Month/Day/Year) Derivative Security or Exercise Code (Instr. (Month/Day/Year) Security Securities Form: Beneficial (Instr. 3) Price of (Month/Day/Year) 8) (Instr. 5) Direct (D) Securities Beneficially Ownership Derivative Acauired (Instr. 3 and 4) Owned or Indirect (Instr. 4) Security (A) or Disposed Following (I) (Instr. 4) Reported of (D) (Instr Transaction(s) 3, 4 and 5) (Instr. 4) Amount Number Expiration of Shares Date Code (A) (D) Exercisable Date Title ν Non Qualified Stock Commoi 12/11/2019 (3) \$372.46 A 24,500 12/11/2029 24,500 \$**0.0** 24,500 D Option Stock (right to buy)

Explanation of Responses:

1. Award of Restricted Stock under the Amended and Restated 2014 Long-Term Incentive Plan that vests 50% on December 11, 2021 and 50% on December 11, 2023.

2. Award of Restricted Stock under the Amended and Restated 2014 Long-Term Incentive Plan that vests in its entirety on December 11, 2023.

3. The stock option award vests in four equal annual installments, commencing one year after the date of grant.

/s/**Daniel P. Van Plew

** Signature of Reporting Person

12/13/2019 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.